

IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF NORTH CAROLINA  
CASE NO.

\_\_\_\_\_)  
\_\_\_\_\_)  
Plaintiff(s), )  
v. )  
\_\_\_\_\_)  
\_\_\_\_\_)  
Defendant(s). )

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DISCLOSURE BY NON-GOVERNMENTAL CORPORATE PARTY  
OR PROPOSED INTERVENOR OF CORPORATE  
AFFILIATIONS AND OTHER ENTITIES WITH A DIRECT  
FINANCIAL INTEREST IN LITIGATION

This disclosure must be filed on behalf of each nongovernmental corporate entity that is a party or proposed intervenor to the action. Counsel has a continuing duty to update this information. An executed form should be electronically filed. The disclosing party must serve this form on the other parties to this action.

\_\_\_\_\_) who is \_\_\_\_\_  
(Name of Party) (Plaintiff / Defendant / Movant / Intervenor)  
makes the following disclosure:

1. Is the party a publicly held corporation or other publicly-held entity?  
☐ Yes ☐ No

2. Does the party have any parent corporations?  
☐ Yes ☐ No

If yes, identify all parent corporations, including grandparent and great-grandparent corporations:

3. Is 10% or more of the stock of a party owned by a publicly-held corporation or other publicly-held entity?  
☐ Yes ☐ No

If yes, identify all such owners:

4. Is there any other publicly-held corporation or other publicly-held entity that has a direct financial interest in the outcome of the litigation?

☐

Yes

☐

No

If yes, identify the entity and the nature of its interest:

5. Is the party a trade association?

☐

Yes

☐

No

If yes, identify all members of the association, their parent corporations, and any publicly held companies that own 10% or more of a member's stock:

6. If the case arises out of a bankruptcy proceeding, identify any trustee and the members of any creditors' committee:

s/

\_\_\_\_\_  
Signature of Attorney

\_\_\_\_\_  
Date